Annexure – B

COMPLAINT DATA TO BE DISPLAYED BY IAs

Formats for investors complaints data to be disclosed monthly by IAs on their website and mobile applications:

Data for the month ending - Oct 2026

Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	NA	0	0	NA
2	SEBI (SCORES)	0	0	NA	0	0	NA
3	Other Sources (if any)	0	0	NA	0	0	NA
	Grand Total	0	0	NA	0	0	NA

Number of complaints received during the month against the IA due to impersonation by some other entity**:**

Note: *In case of any complaints received against the IA due to impersonation of the IA by some other entity, the IA may adjust the number of such complaints from the total number of received/resolved complaints while preparing the above table. Further, IA must close such impersonation related complaints after following the due process as specified by SEBI/ IAASB.*

*Inclusive of complaints of previous months resolved in the current month. #
Inclusive of complaints pending as on the last day of the month
^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month, divided by the total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr, 2026	0	0	NA	0
2	May, 2026	0	0	NA	0
3	Jun, 2026	0	0	NA	0
4	Jul, 2026	0	0	NA	0
5	Aug, 2026	0	0	NA	0
6	Sept, 2026	0	0	NA	0
7	Oct, 2026	0	0	NA	0
8	Nov, 2026				
9	Dec, 2026				
10	Jan, 2027				
11	Feb. 2027				
12	Mar, 2027				
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2021-22	0	1	1	0
2	2022-23	0	1	1	0
3	2023-24	0	0	0	0
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
	Grand Total	0	2	2	0

* Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year